



Brian Garzione Partner

Brian serves primarily as disclosure counsel and underwriters' counsel on a wide variety of tax-exempt and taxable financings, representing issuers and underwriters in connection with the issuance of all types of bonds, notes, and other debt instruments.

Representing clients on both public offerings and private placements, Brian advises a full range of participants in the municipal finance market, including state and local governments, underwriting firms, and conduit issuers. His experience includes drafting offering documents and negotiating debt instruments and credit agreements for all types of fixed rate, variable rate, and multi-modal financings. Brian is also involved with many of the firm's transportation-related engagements.

Additionally, Brian advises clients on the federal securities laws, assisting clients with the development and implementation of disclosure policies and conducting the related securities law training sessions.

As the chief securities law partner for the firm, Brian is principally responsible for authoring client advisories, or "Hawkins Advisories," describing and analyzing important SEC enforcement actions and other securities law regulatory and rulemaking matters. Hawkins Advisories are available [here](#).

Brian is also a frequent speaker, panelist, and moderator of securities law presentations at industry conferences. In that connection, Brian has held prominent roles with the National Association of Bond Lawyers (NABL), including work with the Securities Law and Disclosure Committee (SLDC) where he acted as chair and vice-chair of the committee for over four years. During such time, Brian had a crucial role in steering the committee agenda and took an active role on several high-profile projects and meetings. Brian led several high-profile projects as part of the leadership of the SLDC, including NABL's SEC Comment Letter on Climate

CONTACT

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INDUSTRIES

Economic Development
Power and Renewable Energy
Transportation
Water

PRACTICES

Disclosure Counsel
Underwriters' Counsel
Private Placements
Borrower's Counsel

EDUCATION

Loyola College in Maryland,
B.A.
Pace University School of Law,
J.D., Articles Editor, Pace Law
Review

ADMISSIONS

District of Columbia
New York
Maryland

Change Disclosure, the supplement to NABL's Crafting Disclosure Policies, and NABL's "Analysis of SIFMA Model Memorandum to Underwriter's Counsel," among others. Brian was also a key participant in NABL's working group that released the paper titled "SEC Rule 15c2-12 Amendments NABL Member Questions and Practical Considerations."

Speaking Engagements

- **Brian Garzione Conference Vice-Chair**
2024 NABL Institute
- **Bonds and Beverages: DC**
A NABL free event for law school students. As a panelist, Brian Garzione will provide insight into a public finance career. •When: Thursday, February 22, 2024; 6:00-7:30pm •Where: Hunton Andrews Kurth LLP, 2200 Pennsylvania Ave. NW, 9th Floor, Washington, DC 20037
- **Current Considerations for Underwriters' Counsel**
National Association of Bond Lawyers, The Institute
- **Securities Law Update**
Webinar hosted by the National Association of State Treasurers
- **ESG Risks, Disclosure, and Labeled Bonds**
Michigan Bond Forum
- **Roles and Responsibilities of Disclosure Counsel**
National Association of Bond Lawyers, The Tax and Securities Law Institute
- **Post-Closing Issues**
National Association of Bond Lawyers, 44th Bond Attorneys' Workshop
- **Post-Issuance Compliance**
National Association of Bond Lawyers, 43rd Bond Attorneys' Workshop
- **Post-Issuance Compliance**
National Association of the Bond Lawyers, 42nd Annual Bond Attorneys' Workshop
- **Disclosure Responsibilities under the Federal Securities Laws and Update on Recent SEC Enforcement Actions**
National Council of Higher Education Resources, Summer Legal Meeting

Publications

- SEC Climate Risk Disclosure Rules
- Municipal Securities Market Update
- Update on the Municipal Securities Market
- SEC Actions – Rule 15c2-12 Limited Offering Exemption
- Municipal Market — Federal Securities Law Update (2022)
- SEC Exemptive Order re Municipal Advisors
- SEC Statement on Disclosure by Municipal Issuers regarding the Impact of COVID-19
- SEC Staff Guidance Regarding Secondary Market Disclosure
- Implementing the Rule 15c2-12 Amendments
- Rule 15c2-12 Compliance Alert
- Rule 15c2-12 Amendments
- Cybersecurity - Municipal Disclosure